

PAKISTAN STOCK BROKERS ASSOCIATION

(A company setup under section 42 of the Companies Act 2017)

Regd Office: Mezzanine Floor, Trading Hall, Stock Exchange Building, Stock Exchange Road,

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PSBA/Notice-70

NOTICE FOR MEMBERS

June 17, 2022

DELIBERATION ON CONSOLIDATED JOINT INSPECTION FRAMEWORK

Dear Members,

With reference to the attached presentation on Consolidation of Regulatory Audits/Inspections of Securities Brokers into Joint Inspection Regime. The SROs were requested that the proposed model, call for a detailed session for the members to have their valuable feedback and suggestions regarding the overall regime and its fee recovery model.

In this regard, the members of the Oversight Committee have arranged a **SESSION** for our members at <u>4:00</u> <u>PM</u> on <u>Monday</u>, <u>June 20</u>, <u>2022</u>, at <u>PSX Auditorium (3rd Floor)</u> to deliberate the framework of consolidated joint inspection. Therefore, all our members are kindly requested to ensure physical participation in the above session.

Alternatively, the following is the zoom link for members residing out of the city

Link: https://us02web.zoom.us/j/83075058789?pwd=dDhuR01Ldi9TQ3BpY0hLcmd3eWVLdz09

Meeting ID: 830 7505 8789

Passcode: 625362

All members are kindly requested to note and ensure participation.

Copy to:

1. PSBA Website