

PAKISTAN STOCK BROKERS ASSOCIATION

(A company setup under section 42 of the Companies Act 2017)

Regd Office: Mezzanine Floor, Trading Hall, Stock Exchange Building, Stock Exchange Road,

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PSBA/Notice-026

April 26, 2021

NOTICE FOR MEMBERS

DRAFT AMENDMENTS TO THE CENTRALIZED CUSTOMER PROTECTION COMPENSATION FUND REGULATIONS, 2017

This refers to the Notification S.R.O. 494 (I)/2021 dated April 19, 2021 (https://www.secp.gov.pk/document/draft-amendments-in-centralized-customer-protection-compensation-fund-regulations-2017-dated-april-19-2021/?wpdmdl=42213&refresh=6086551b641281619416347), whereby, the Commission has invited the comments on drafts amendments to the Centralized Customer Protection Compensation Fund Regulations, 2017.

In this regard, the members are requested to kindly submit the comments, if any, with the Association Office through email at psamail024@gmail.com latest by April 30, 2021, so that a consolidated response is submitted with the authorities for their consideration.

sd
BILAL FAROOQ ZARDI
Secretary General

Copy to:

- 1. All Members
- 2. PSBA Website

GOVERNMENT OF PAKISTAN

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN

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Islamabad, the 19th April, 2021

NOTIFICATION

S.R.O. 494 (I)/2021. - In exercise of the powers conferred by clause (h) of sub-section (2) of section 169 of the Securities Act, 2015 (III of 2015), the Securities and Exchange Commission of Pakistan hereby publishes draft amendments to the Centralized Customer Protection Compensation Fund Regulations, 2017 for information of all persons likely to be affected thereby and, as required by sub-section (4) of the said section 169, notice is hereby given that objections or suggestions, if any, received within fourteen days from the date of placement this notification on the website of the Commission may be taken into consideration, namely:-

DRAFT AMENDMENTS

In the aforesaid Regulations, in regulation (5), under sub-regulation (iii), in clause (b), for the number "1% percent" the wording "such percentage as specified by the Commission" shall be substituted;

[File No. SY/SECP/8/13]

Bilal Rasul)

Secretary to the Commission