



PAKISTAN STOCK BROKERS ASSOCIATION

(A company setup under section 42 of the Companies Act 2017)

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Bilal Farooq Zardi
Secretary General

Ref: PSBA/G0322-04

March 18, 2022

MR. AJEET KUMAR
Chief Regulatory Officer
Pakistan Stock Exchange Ltd
Karachi.

MR. AMIR MOBIN
Chief Regulatory Officer
National Clearing Company of Pakistan Ltd
Karachi.

MR. HAMMAD ALI FAISAL
Chief Compliance Officer
Central Depository Company of Pakistan Ltd
Karachi.

Subject: **AWARENESS SESSION ON AML/CFT REGULATORY FRAMEWORK**

Dear Sirs,

This refers to our meeting held on March 9, 2022, to discuss the consolidated inspection mechanism, where the PSBA raised that in order to guide the members regarding the KYC/CDD/EDD process we should hold a joint awareness session enabling them to ensure compliance of AML/CFT framework in a more effective manner.

In this regard, kindly schedule a joint session for our members as discussed above at a mutually agreed date and time.

We also suggest making those points part of the discussion, which the oversight committee observes during the regular inspections.

Look forward to your confirmation.

Best Regards,