



PAKISTAN STOCK BROKERS ASSOCIATION

(A company setup under section 42 of the Companies Act 2017)

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PSBA/Notice-150

May 7, 2024

NOTICE FOR MEMBERS

Joint Session by PSBA and CDC on Centralized Gateway Portal (CGP)

Attn: CEOs of TREC Holders and respective Compliance Teams,

Respected Members,

This is in continuation to our session dated March 7, 2024, for our Karachi-based members, on the implementation of the **Centralized Gateway Portal (CGP)** which was launched on September 22, 2023, by CDC via the mandate given by SECP in **Circular 06 of 2023** "Centralized Digital Onboarding Mechanism for all Asset Classes" dated April 19, 2023.

In line with the objective of promoting awareness about CGP, we have been conducting online focus group sessions with TREC Holders via Zoom as well as sending information via email. In continuation of this effort, we are conducting another joint session in coordination with CDC and SECP, aimed at educating members about the CGP onboarding journey as well as the latest enhancements introduced to further facilitate the fraternity.

We will also provide an overview of how the portal is designed to comply with all regulatory requirements, including KYC/CDD of the clients, the 3rd party designation given to CDC by SECP for assuring the reliance of TREC Holders on CGP verifications, documents, and screening processes and the adequacy of all these verifications for subsequent inspection/audits of the TREC Holders.

The Lahore-based members, along with their relevant teams, are encouraged to attend this session as per the following details:

Day, Date & Time: Thursday, May 09, 2024 – 03:30 to 05:30 PM (followed by Hi-Tea)

Venue: LSE Auditorium, LSE Plaza, Lahore

Thank you,

sd
AKBER ALI
Officer - Secretariat

Copy to:

1. PSBA Website



AWARENESS SEMINAR ON CENTRALIZED GATEWAY PORTAL

THE ULTIMATE GATEWAY TO DIVERSE
INVESTMENT OPPORTUNITIES



- Onboarding of all investment categories under the ambit of SECP
- Connectivity with all major verification utilities like NADRA, Bio-Verisys, PMD, RAAST, etc.
- Equipped with the most modern tools of KYC & Customer Due Dillgence (CDD)
- AML Risk Management Algorithm with indicative risk rating for the intermediaries
- Uniform and Synchronized KYC and Anti Money Laundering (AML) Framework

Representative of SECP, PSBA and PSX will be part of the discussion panel.

Date: May 09, 2024 | Time: 03:30pm – 05:30pm | Location: LSE Auditorium